



37384

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William E. Cohn et al.  
Serial No.: 10/090,968  
Filing Date: 03/05/2002  
For: APPARATUS AND METHOD FOR REDUCING  
MITRAL REGURGITATION  
Group Art Unit No.: 3738  
Examiner: Brian E. Pellegrino  
Attorney's Docket No.: VIA-17

Mail Stop Amendment  
Commissioner for Patents  
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September 20, 2004

(DATE OF DEPOSIT)

James A. Sheridan

(NAME OF ATTORNEY)

(SIGNATURE)

September 20, 2004

(DATE OF SIGNATURE)

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit documents and information which may be considered material to the examination of this application. These documents and information are listed on the accompanying forms.

Copies of documents 1-22, which are listed on the accompanying Form PTO/SB/08A (substitute for Form PTO-1449) (two pages), are enclosed herewith.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of the Form PTO/SB/08A (substitute for Form PTO-1449) (two pages), submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

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*A check in the amount of One Hundred Eighty Dollars (\$180.00) payable to the Commissioner of Patents and Trademarks also is enclosed herewith to cover the fee due in connection with this submission.*

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

Respectfully submitted,

*James A. Sheridan 9/20/04*

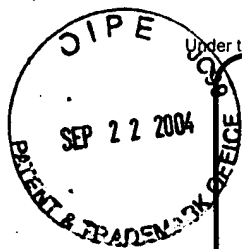
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Application Number</b>	10/090,968
				<b>Filing Date</b>	03/05/2002
				<b>First Named Inventor</b>	William E. Cohn et al.
				<b>Group Art Unit</b>	3738
				<b>Examiner Name</b>	Brian E. Pellegrino
<b>Sheet</b>	1	<b>of</b>	2	<b>Attorney Docket Number</b>	VIA-17

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,061,277		Carpentier et al.	10-29-1991	
	2	5,755,781		Jayaraman	05-26-1998	
	3	6,656,221		Taylor et al.	12-02-2003	
	4	6,165,194		Denardo	12-26-2000	
	5	5,389,091		Moorehead	02-14-1995	
	6	6,602,288		Cosgrove et al.	08-05-2003	
	7	6,277,107		Lurie et al.	08-21-2001	
	8	6,119,037		Kellogg et al.	09-12-2000	
	9	5,476,506		Lunn	12-19-1995	
	10	5,569,201		Burns	10-29-1996	
	11	6,585,716		Altman	07-01-2003	
	12	5,575,799		Bolanos et al.	11-19-1996	
	13	4,944,745		Sogard et al.	07-31-1990	
	14	5,443,481		Lee	09-22-1995	
	15	6,033,436		Steinke et al.	03-07-2000	
	16	6,051,020		Goicoechea et al.	04-18-2000	
	17	6,090,136		McDonald et al.	07-18-2000	
	18	6,210,432		Solem et al.	04-03-2001	
	19	6,328,765		Hardwick et al.	12-11-2001	
	20	6,402,781		Langberg et al.	06-11-2002	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		<b>Application Number</b>	10/090,968		
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		<b>Examiner Name</b>	Brian E. Pellegrino		
<b>Sheet</b>	2	<b>of</b>	2	<b>Attorney Docket Number</b>	VIA-17

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</b>	<b>T<sup>2</sup></b>
	21	Bolling et al., U.S. Patent Application Publication No. US-2003/0093148, published 05/15/2003	
	22	Niazi, Imran K., U.S. Patent Application Publication No. US-2001/0052345, published 12/20/2001	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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